



**ANNUAL FINANCIAL ASSURANCE SUMMARY**  
 NORTH DAKOTA DEPARTMENT OF ENVIRONMENTAL QUALITY  
 DIVISION OF WASTE MANAGEMENT – SOLID WASTE PROGRAM  
 06/2023

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Please read the guidance instructions. Include additional information/attachments as necessary.

Facility Name	
Permit Number	Submittal Year

**NDAC Subsection 33.1-20-14-04(2)**

a. Updated Inflation Adjusted Closure Cost Estimate and Postclosure Cost Estimate (*Review the Department’s Rounding Policy and complete this section accordingly.*)

Closure Cost Estimate\*:  *\*If in postclosure status, place “0”*

Complete one category:

Closure Option 1: Inflation Adjusted Cost (*show calculation*)

Previous Year’s Cost Estimate Numbers	Inflation Factor		Inflation Adjusted Cost Estimate
	X	=	
<input type="checkbox"/> Clicking this box indicates you acknowledge the Full Inflation Adjustment Summary Table is attached.			
Comments:			

Closure Option 2: New Cost Estimate in Current Dollars

X	Submittal must include Current Dollar Third-Party Detailed Cost Estimate Spreadsheet(s).
Comments:	

Postclosure Cost Estimate:

Complete one category:

Postclosure Option 1: Inflation Adjusted Cost (*show calculation*)

Previous Year’s Cost Estimate Numbers	Inflation Factor		Inflation Adjusted Cost Estimate
	X	=	
<input type="checkbox"/> Clicking this box indicates you acknowledge the Full Inflation Adjustment Summary Table is attached.			
Comments:			

Postclosure Option 2: New Cost Estimate in Current Dollars

X	Submittal must include Current Dollar Third-Party Detailed Cost Estimate Spreadsheet(s).
Comments:	

Total Financial Assurance:

b. Summary Of Financial Assurance In Place (Check **all** that apply & Include documentation, if applicable).

Management Unit	Qty	Cost Estimate(s) Coverage	
Landfill		Closure	Postclosure
Surface Impoundment(s) [waste in place]		Closure	Postclosure
Surface Impoundment(s) [clean closed]		Closure	Postclosure
Land Treatment Area(s)		Closure	Postclosure
Other (Specify)		Closure	Postclosure
Other (Specify)		Closure	Postclosure
Financial assurance for corrective action. (NDAC Section 33.1-20-14-05)			
Liability requirements for industrial waste landfills. (NDAC Section 33.1-20-14-06)			
Comments			

c. The Submittal Date of The Most Recent Detailed Cost Estimates For Closure and Postclosure:

	Submittal Date	Year Cost Estimate Was Prepared
Closure (mm/dd/yyyy)		
Postclosure (mm/dd/yyyy)		

d. The Maximum Allowed Open Area and Quantities:

Maximum Allowed Open Area (Permitted Area)	Acres (xx.xx)	
Quantities (Maximum Permitted Design Capacity)	Cubic Yards	NA – No Land Treatment Unit at the facility

e. Current Estimated Open Area and Quantities:

Current Estimate Open Area (Constructed Footprint minus Certified Closed Areas)	Acres (xx.xx)	
Quantities (Current Quantities Undergoing Treatment)	Cubic Yards	NA – No Land Treatment Unit at the facility

f. Mechanisms In Use (Check **all** that apply. Include **all** required mechanism update documentation with your annual submittal.)

Financial Test	Trust	Bond
Corporate Guarantee	Irrevocable Letter of Credit	Insurance Policy
<p><b>Note:</b> Be sure to also check and include the mechanism updates for industrial liability requirements, if applicable. Use the comments box below to identify which mechanism(s) are utilized for industrial liability.</p>		

Comments: