

CWSRF ANNUAL REPORT

1/1/2022 - 12/31/2022
Attachment C Amended 7/21/23

Prepared by the
Clean Water State Revolving Loan Fund Program
Municipal Facilities Division
North Dakota Department of Environmental Quality

For EPA Region VIII



**CWSRF 2022 ANNUAL REPORT
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I. INTRODUCTION

The State of North Dakota hereby submits its 2022 Clean Water State Revolving Fund (CWSRF) Annual Report. The Annual Report is prepared in adherence with the Operating Agreement, negotiated between the state and the U.S. Environmental Protection Agency (EPA), governing the administration of the CWSRF. The Annual Report is intended to summarize the activities of the CWSRF over a one-year period beginning January 1, 2022. Information concerning the use of CWSRF funds, accomplishments of the program, and adherence with the stated goals of the program will be provided.

II. EXECUTIVE SUMMARY

The Clean Water State Revolving Fund (CWSRF) Program was established by Title VI of the Federal Water Pollution Control Act (FWPCA) a.k.a. the Clean Water Act (CWA), as amended in 1987. The CWSRF Program is a financial assistance program for water pollution control projects. Under the CWA, the U.S. Environmental Protection Agency (EPA) awards annual capitalization grants to each state to capitalize a state revolving fund, which the state can then use to provide financing for statewide eligible projects. The state CWSRF was established under North Dakota Century Code (NDCC) Section 61-28.2 and is administered in the state by the North Dakota Department of Environmental Quality (NDDEQ), following North Dakota Administrative Code (NDAC) Section 33.1-35. Assistance is provided by the North Dakota Public Finance Authority (PFA) through formal agreement to manage the financial portion of the program.

During the reporting period, 8 project loans (plus three loan increases and one refinance) were made to provide financial assistance for eligible program activities. The total amount of project loans was \$115,004,000. The summary of loans awarded is in Attachment A of this report. Disbursements from the CWSRF base program to the recipients and program administration totaled \$53,499,452 during the reporting period. The summary of payments is in Attachment B of this report.

Section 302 of the Safe Drinking Water Act Amendments of 1996 provides that, at the governor's discretion, a state may transfer up to an amount equal to 33 percent of the Drinking Water State Revolving Fund (DWSRF) capitalization grants to the CWSRF Program, or an equal amount from the CWSRF to the DWSRF. In addition to transferring grant funds, states can transfer state match, investment earnings, principal and interest repayments, unrestricted cumulative excess, restricted cumulative excess, or Federally Capitalized Loan Account (FCLA) funds between SRF Programs. A combined total of \$54.6 million has been transferred from the CWSRF to the DWSRF, and \$30.1

million was transferred back from the DWSRF to the CWSRF. The net transfer between programs is \$24.5 million from the CWSRF to the DWSRF. The main sources of CWSRF funds are net principal repayments and interest payments that the program has received from prior SRF loans and are considered non-federal funds.

The interest rate for CWSRF loans originating in 2022 is 1.5 percent for a maximum term of 30 years. In addition, there is an administrative fee of 0.5 percent paid in conjunction with loan repayments. The administrative fee is deposited in the SRF Administration Fund and will be used to augment the administration set-aside and other eligible activities as needed and to assure that the CWSRF will have sufficient funds to administer the program in perpetuity, as required by law. The balance in the State Administration Fund was approximately \$6.4 million at the end of 2022.

III. GOALS AND OBJECTIVES

The Intended Use Plan (IUP) prepared for the CWSRF identifies the long- and short- term goals and objectives of the state in managing the program. The state has achieved progress towards meeting these goals and objectives.

The long-term goal of the CWSRF is to maintain or restore and enhance the chemical, physical, and biological integrity of the state's waters for the benefit of the overall environment, the protection of public health, and the promotion of economic well-being. The short-term goal is to continue to improve the quality of the state's waters, meet the wastewater treatment needs of the state, and to eliminate any public health hazards related to the discharge of inadequately treated wastewater.

A. Long-term Objectives

1. Maintain a permanent, self-sustaining CWSRF Program that will serve in perpetuity as a financing source for wastewater treatment and water pollution control activities, including nonpoint and groundwater projects.
2. Fulfill the requirements of applicable federal, state, and local laws and regulations pertaining to water pollution control activities, while providing the state and local project sponsors with maximum flexibility and decision-making authority regarding such activities.
3. Encourage a range of practices that support sustainable wastewater infrastructure and overall system sustainability.

The *SRF Internal Operations Manual* was developed to ensure that

all state and federal laws governing the technical aspects of the project are satisfied. The PFA ensures that laws regarding the financial aspects of the program are satisfied.

B. Short-term Objectives

1. Continue to administer the current CWSRF Program, providing low interest financing for municipal wastewater facilities (CWA Section 212) and eligible nonpoint source (CWA Section 319) projects.

The program is established, and the state match has been provided. At 1.5 percent plus 0.5 percent administration fee, the effective 2.0 percent interest rate is below market rates.

2. Ensure the technical integrity of CWSRF projects through the review of planning, design, and construction activities.

The trained staff of the NDDEQ is utilizing the *SRF Internal Operations Manual* and knowledge gained through the Construction Grants Program to ensure that this objective is met.

3. Ensure compliance with all pertinent federal, state, and local water pollution control laws and regulations.

The trained staff of the NDDEQ is utilizing the *SRF Internal Operations Manual*, and knowledge gained through the Construction Grants Program to ensure that this objective is met.

4. To the extent possible, obtain maximum capitalization of the fund for the state.

To meet this objective, the NDDEQ has aggressively addressed expanded uses of CWSRF funds. Bonds were issued in 2018 but not issued in 2019 - 2021. In January 2022, \$25 million of leveraged bonds were issued to help meet the cash needs of the program. Additional leveraged bonds will anticipated to be issued by second quarter of 2024.

5. Apply for available appropriated federal funds contingent upon federal legislation.

The NDDEQ applied for and received the FY2022 Base Cap Grant and FY2022 BIL Supplemental Cap Grant.

6. Continue to complete an EPA environmental benefits spreadsheet for each project.

The trained staff of the NDDEQ ensure that this objective is met.

7. All required reporting information will be entered into the Office of Water State Revolving Funds data system.

The trained staff of the NDDEQ ensure that this objective is met.

IV. ACCOMPLISHMENTS

The North Dakota CWSRF provided 8 project loans (plus three loan increases and one refinance) to fund projects during the reporting period totaling \$115,004,000. Sources of funds for these projects were from capitalization grants and the FCLA. Of the projects funded, one included wastewater treatment, six included sewer rehabilitation/ replacement, four included storm water control and drainage improvements, and one included recycled water distribution. Disbursements from the CWSRF base program to loan recipients totaled \$52,736,875 during the reporting period. The Summary of Loans Awarded and the Summary of Payments are in Attachments A and B of this report.

During 2022 the CWSRF program continued outreach and marketing through presentations at ND Rural Water Systems Annual Conference, ND League of Cities booth, ND Water Pollution Control Conference presentations and booth, ND Environmental Health Association Conference presentation, legislative presentations as well as hosting one on one meetings with cities.

V. GRANT CONDITIONS AND CERTIFICATIONS

The State of North Dakota agreed to a number of conditions in the Operating Agreement and Capitalization Grant. The following conditions have been met and need no further description.

- Agreement to Accept Payments
- State Laws and Procedures
- State Accounting and Auditing Procedures
- Use of the Letter of Credit (LOC)
- Repayments
- Annual Audit
- Annual Report
- Annual Review

The following narrative discusses additional requirements and how they were addressed by the state. Relevant sections of the Clean Water Act are provided in parenthesis beside the requirement title where applicable.

A. Provide a State Match

On September 14, 2022, \$7 million of program income was transferred from the state administrative account to the state match account to provide future state match funds.

The NDDEQ has deposited into the CWSRF from state monies an amount equal to 20% of the capitalization grant on or before the date on which the state received each grant payment from EPA. The required state match has been provided through approximately FY 2030.

For the BIL match requirement, the NDDEQ deposited into CWSRF from state monies an amount equal to 10% for 2022 and 2023 and 20% for 2024 through 2026 of the supplemental grant on or before the date on which the state receives each grant payment from EPA. The funds have been deposited into the state match fund for 2022 through the 2026 estimated allotments.

B. Environmental Reviews (Section 602(b)(6) and 511(c)(1))

The state certifies that it will conduct environmental reviews of each treatment works (Section 212) project receiving assistance from the CWSRF. North Dakota will either follow National Environmental Protection Agency procedures or equivalent state procedures in conjunction with such environmental reviews.

C. Binding Commitments Within One Year (Section 602(b)(3))

The state agreed to enter into binding commitments to provide assistance from the CWSRF in amounts equal to at least 120 percent of each quarterly capitalization grant payment within one year after receipt of each payment. The state met this requirement by the end of the one-year period.

D. Expeditious and Timely Expenditures (Section 602(b)(4))

The state disbursed all cash draws and other available CWSRF funds in a timely manner. The Summary of Payments in Attachment B details the project payments made during the reporting period.

E. First Use of Funds for Enforceable Requirements (Section 602(b)(5))

North Dakota does not have any projects on the National Municipal Policy list; therefore, the “first use” requirement does not apply.

F. Eligible Activities

Section 212 and Section 319 projects were funded during the reporting period.

G. MBE/WBE Requirements

Loan recipients are required to comply with all Disadvantaged Business Enterprise (DBE) utilization requirements. The fair share objective for North Dakota during the reporting period was 2 percent Minority Business Enterprise (MBE) and 3 percent Women's Business Enterprise (WBE) participation. The actual participation achieved was 0.3 percent for MBE and 0.4 percent for WBE. The NDDEQ will continue in its efforts to promote and obtain additional DBE participation in the CWSRF Program.

H. Green Project Reserve

The State agreed to enter into binding commitments to provide assistance for projects meeting the requirements of the Green Project Reserve (GPR) in an amount equal to at least 10 percent of the FY2022 base capitalization grant and FY2022 BIL Supplemental grant.

The state has met the GPR requirements for all capitalization grants through FY2022. In the future, the state will continue to seek GPR-eligible projects by prominently soliciting all four categories of GPR projects and working with those projects on the project priority list to proceed using SRF funding.

The lists of GPR-related project loans, as well as the corresponding summary of GPR requirements are in the Capitalization Grant Requirements Status Table in Attachment C.

I. Additional Subsidization

The CWSRF Program provides additional subsidies as loan forgiveness which is allocated based on the priority ranking system. The priority ranking system is in Attachment D and is comprised of five categories: Affordability, Water Quality Improvements, Permit Compliance, Readiness to Proceed, and Green Project Reserve.

A summary of additional subsidization requirements and a listing of project loans is included in Attachment C.

J. Davis-Bacon Prevailing Wage Requirements (Section 602(b)(6) and Section 513)

Loan recipients are required to comply with all Davis-Bacon Prevailing

Wage Requirements for treatment works projects. Recipients agree to this in their loan agreements with the state.

K. American Iron and Steel Requirements (Section 608)

Loan recipients that were required to comply with the American Iron and Steel Requirements did so. Recipients agree to this in their loan agreements with the state.

L. Build America, Buy America Act

BIL creates the Build America, Buy America (BABA) Act domestic sourcing requirements for federal financial assistance programs for infrastructure including SRF programs. BABA will apply to the Equivalency Project(s) identified. When BABA applies, recipients agree to this in their loan agreements with the state.

M. Compliance with Title II Requirements

The State of North Dakota certifies that it will ensure that sufficient financial assistance is provided from the fund to treatment works projects with eligible construction costs. This satisfies the Title II equivalency requirements in an amount equal to the funds directly made available by the federal capitalization grant, if required by federal law.

N. Generally Accepted Accounting Principles (GAAP) (Section 602(b)(9))

The state requires assistance recipients to complete SFN7804, SRF Request for Payment, and record in their financial records, so their project accounts are maintained according to Generally Accepted Accounting Principles (GAAP) as issued by the Governmental Accounting Standards Board.

O. Cost and Effectiveness Analysis (Section 602(b)(13))

The state requires assistance recipients and their consulting engineer to certify that they have studied and evaluated the cost effectiveness and water/energy efficiency of the project.

P. Architect/Engineer (A/E) Procurement (Section 602(b)(14))

Equivalency loans are required to meet the elements of the procurement processes for A/E services. Equivalency loans are identified in the Capitalization Grant Requirements Status Table in Attachment C.

Q. Fiscal Sustainability Planning (Section 603(d)(1)(E))

A recipient of a loan for a project that involves the repair, replacement, or expansion of a publicly owned treatment works is required to develop and implement a fiscal sustainability plan (FSP) or certify that it has developed and implemented such a plan. This is not required of the state at this time.

R. Signage

The state required assistance recipients to comply with SRF Signage Guidance. Generally, this requirement is met by issuing press releases. A physical sign is needed to meet BIL signage requirements and the state will endeavor to meet the signage requirements as they are continuing to be developed.

S. Single Audit Act (2 CFR Part 200, Subpart E.)

Equivalency loans are required to meet the Single Audit Requirements. Equivalency loans are identified in the Capitalization Grant Requirements Status Table in Attachment C.

T. Other Federal Authorities and Equivalency Projects

The NDDEQ certifies that it will comply with and require all recipients of funds "made directly available" by capitalization grants to comply with applicable federal authorities. Equivalency projects are defined within the SRF programs as a select group of loans whose sum is equal to the amount of the capitalization grant which are required to meet certain federal requirements. They must meet the federal crosscutter, single audit, and FFATA reporting requirements. The addition of Build America, Buy America (BABA) has also been added. States are required to meet equivalency under both the base CWSRF and the BIL. The NDDEQ intends to utilize project loan(s) equal to the base CWSRF and BIL capitalization grants to meet the equivalency requirements in accordance with federal law.

U. Reporting Requirements

The state agreed to enter data quarterly into the SRF Data System as specified by the EPA. The state will endeavor to meet the reporting requirements as outlined in the grant conditions.

VI. PROGRAM CHANGES

Any minor changes in procedures recommended in the Annual Review will be incorporated into the program.

VII. PROPOSED IMPROVEMENTS

We expect to continue improving the operation of the CWSRF Program in the areas of payment and cash draw projections, DBE utilization, and full compliance with federal requirements.

Clean Water State Revolving Fund Program
Summary of Loans Awarded
(January 1 - December 31, 2022)

<i>Recipient</i>	<i>Project Number</i>	<i>Binding Commitment</i>	<i>Loan Amount</i>	<i>Project Description</i>	<i>Cost Category</i>
Portland	380853-04	01/10/22	\$430,000	Lift Station Improvements	III-B
Valley City (increase)	380887-04	01/17/22	\$609,000	7th Ave NW and 2nd Ave NE	III-B/VI-A
Strasburg	380939-02	03/30/22	\$1,326,000	2022 WW Treatment Facility	I/IVB
Center (increase)	380915-01	03/30/22	\$333,000	WW Treatment Lagoon Impr.	I/III-A/III-B
Forman (refinance)	380980-02	06/30/22	\$550,000	Replacement of Sanitary Sewer	III-B
Wilton	380941-01	07/18/22	\$1,319,000	2022 Street and Utility Impr.	III-A/III-B
Metro Flood Diversion Authority	381066-01	10/19/22	\$51,634,000	FM Diversion Stormwater Lift Station Impr	VI-A
Tower City	380852-03	11/14/22	\$585,000	Lagoon Improvements	I
Wahpeton (increase)	380940-03	11/17/22	\$1,500,000	East Side Sanitary Sewer Improvements Phase B	III-A/III-B
Dazey	381064-01	12/12/22	\$1,251,000	Sewer Service Lining and Lagoon Grading Improv.	III-A/III-B
Fort Ransom	380960-01	12/19/22	\$847,000	Emergency Sanitary Sewer Forcemain Repair	III-B
Grand Forks	380806-05	12/27/22	\$54,620,000	WWTP	II

Total

\$115,004,000

North Dakota Clean Water State Revolving Loan Fund 2022 Annual Report
Attachment B

**Clean Water State Revolving Fund Program
Summary of Payments - 2022
(Chronological Order)**

<i>Project No.</i>	<i>Recipient</i>	<i>Date of Payment to Recipient</i>	<i>Payment Amount</i>
380715-07	Fargo	01/10/22	273,325.00
380940-03	Wahpeton	01/10/22	103,168.00
	PAR - Douglas	01/18/22	5,504.00
380715-04	Fargo	01/24/22	129,165.00
380715-05	Fargo	01/24/22	2,291,194.00
380715-08	Fargo	01/24/22	44,566.00
380715-08	Fargo	01/24/22	13,605.00
380715-09	Fargo	01/24/22	202,619.00
380715-09	Fargo	01/24/22	170,316.00
380693-07	Minot	02/07/22	793,741.00
380869-03	Hebron	02/07/22	63,584.00
	DEQ Admin Draw - DW	02/07/22	302,612.00
	DEQ Admin Draw	02/07/22	166,668.00
	PFA Expenses	02/22/22	42,730.00
380715-08	Fargo	02/22/22	4,217.00
380715-09	Fargo	02/22/22	379,648.00
380715-04	Fargo	02/22/22	163,872.00
380715-05	Fargo	02/22/22	5,050,585.00
380715-07	Fargo	03/07/22	217,603.00
380869-03	Hebron	03/07/22	11,500.00
380795-03	Cavalier	03/07/22	144,887.00
	PAR - Dazey	03/14/22	15,000.00
	PFA Expenses	03/28/22	1,902.80
380887-03	Valley City	04/04/22	340,191.00
380940-04	Wahpeton	04/04/22	125,166.00
380853-04	Portland	04/25/22	125,626.00
380918-02	Hankinson	04/25/22	18,754.00
380915-01	Center	05/02/22	184,008.00
380887-04	Valley City	05/31/22	816,050.00
	DEQ Admin Draw	05/31/22	206,050.00
	PFA Expenses	05/31/22	365.61
380715-04	Fargo	06/06/22	489,022.00
380715-05	Fargo	06/06/22	7,466,282.00
380715-08	Fargo	07/11/22	63,617.00
380715-09	Fargo	07/11/22	364,146.00
380715-04	Fargo	07/11/22	318,114.00
380715-05	Fargo	07/11/22	6,470,595.00
380915-01	Center	07/25/22	55,382.00
380715-04	Fargo	08/15/22	224,221.00
380715-05	Fargo	08/15/22	3,875,158.00
380832-02	Kindred	08/22/22	160,657.00
380980-02	Forman	09/01/22	550,000.00
380939-02	Strasburg	09/13/22	63,131.00
380939-02	Strasburg	09/13/22	65,220.00
380939-02	Strasburg	09/19/22	47,962.00
380715-04	Fargo	09/19/22	162,348.00
380715-05	Fargo	09/19/22	4,735,432.00

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Project No.	Recipient	Date of Payment to Recipient	Payment Amount
380940-03	Wahpeton	09/26/22	1,062,150.00
380940-04	Wahpeton	09/26/22	733,080.00
380832-02	Kindred	09/26/22	1,664,114.00
380715-08	Fargo	09/26/22	41,388.00
380715-09	Fargo	09/26/22	251,550.00
380941-01	Wilton	10/11/22	52,715.00
380939-02	Strasburg	10/11/22	25,331.00
380832-02	Kindred	10/11/22	347,164.00
380915-01	Center	10/17/22	170,175.00
380715-08	Fargo	10/24/22	12,773.00
380715-09	Fargo	10/24/22	58,775.00
380715-04	Fargo	10/31/22	188,122.00
380715-05	Fargo	10/31/22	3,575,700.00
380823-02	Wildrose	11/07/22	45,638.00
380832-02	Kindred	11/07/22	207,779.00
380939-02	Strasburg	11/21/22	68,509.00
380715-04	Fargo	11/28/22	176,888.00
380715-05	Fargo	11/28/22	3,683,499.00
	PFA Expenses	11/28/22	495.00
380852-03	Tower City	12/05/22	389,931.00
380715-08	Fargo	12/12/22	29,791.00
380715-09	Fargo	12/12/22	845,863.00
380909-02	Bismarck	12/19/22	250,000.00
380832-02	Kindred	12/19/22	71,367.00
381066-01	Metro Flood Diversion Auth	12/19/22	1,272,652.00
380918-02	Hankinson	12/28/22	12,681.00
380808-08	Jamestown	12/28/22	568,345.00
380939-02	Strasburg	12/28/22	152,218.00
	PAR - Fort Ransom	12/28/22	15,000.00
	PFA Expenses	12/28/22	6,250.00

Sum of All 2022 Payments	<u>53,499,452.41</u>
Sum of 2022 Loan Payments	<u>52,736,875.00</u>

**Clean Water State Revolving Fund Program
Capitalization Grant Requirements Status
as of December 31, 2022 (revisions 7/21/23 are highlighted)**

Grant Year	Cap Grant	Loan Recipient	Loan Forgiveness allocated* (LF)	Green Project Reserve (GPR)	Equivalency Project(s) &/or FFATA(FF)	Totals for Grant Year	
FY2022 BIL - EC	459,000	Minimum Required	459,000		459,000		
FY2022-EC		Fargo (715-11)	459,000		459,000	459,000	
FY2022 BIL - S	8,738,000	Minimum Required	4,281,620	873,800	8,738,000		
FY2022-S		Gardner (961-03)	226,365				
FY2022-S		Strasburg (939-02)	530,255			4,281,620	LF
FY2022-S		Center (915-02)	3,525,000		0		
FY2022-S		Cass RWD (923-02)		873,800		873,800	GPR
FY2022-S		Fargo (715-05)			8,738,000	8,738,000	
FY2022 Base	5,681,000	Minimum Required	1,136,200	568,100	5,681,000		
FY2022-B		Dazey (1064-01)	938,250				
FY2022-B		Gardner (961-03)	489,635			1,427,885	LF
FY2022-B		Cass RWD (923-02)		568,100		568,100	GPR
FY2022-B		Fargo (715-05)			5,681,000	5,681,000	
FY2021	7,779,000	Minimum Required	777,900	777,900	7,779,000		
FY2021		Cavalier (795-03)	300,402				
FY2021		Wahpeton (940-04)	565,900			866,302	LF
FY2021		Fargo (715-09)			1,581,000		
FY2021		Hebron (869-03)		120,000			
FY2021		Cass RWD (923-02)		657,900		777,900	GPR
FY2021		Grand Forks (806-06)			0		
FY2021		Fargo (715-05)			6,198,000	7,779,000	
FY2020	7,780,000	Minimum Required	778,000	778,000	7,780,000		
FY2020		Dunn Center (958-02)	778,000			778,000	LF
FY2020		Dickinson (933-05)		778,000		778,000	GPR
FY2020		Bismarck (909-02)			5,000,000		
FY2020		Fargo (715-09)			2,780,000	7,780,000	FF
FY2019	7,779,000	Minimum Required	777,900	777,900	7,779,000		
FY2019		Wildrose (823-02)	777,900			673,818	LF
FY2019		Wing (1054-01)		68,279			
FY2019		Dickinson (933-05)		709,621		777,900	GPR
FY2019		Fargo (715-07)			9,500,000	9,500,000	FF
FY2018	7,859,000	Minimum Required	785,900	785,900	7,859,000		
FY2018		Kindred (832-02)	420,000			360,355	LF
FY2018		Wing (1054-01)	365,900			10,000,000	FF
FY2018		Fargo (715-05)		785,900	10,000,000	785,900	GPR
FY2017	6,474,000	Minimum Required	647,400	647,400	6,474,000		
FY2017		Pick City (828-01)	30,135			8,000,000	FF

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Grant Year	Cap Grant	Loan Recipient	Loan Forgiveness allocated* (LF)	Green Project Reserve (GPR)	Equivalency Project(s) &/or FFATA(FF)	Totals for Grant Year	
FY2017		Arnegard (1018-02)	617,265			647,400	LF
FY2017		Fargo (715-05)		647,400	8,000,000	647,400	GPR
FY2016	6,525,000	Minimum Required	652,500	652,500	6,525,000		
FY2016		Cavalier (795-02)	263,813				
FY2016		Larimore (768-01)	336,165			8,000,000	FF
FY2016		Pick City (828-01)	52,522			652,500	LF
FY2016		Fargo (715-05)		652,500	8,000,000	652,500	GPR
FY2015	6,817,000	Minimum Required	NA	686,300	6,817,000	9,000,000	FF
FY2015		Watford City (970-03)		686,300	9,000,000	686,300	GPR
FY2014	6,853,000	Minimum Required	372,924	685,300			
FY2014		LaMoure (902-01)	161,382				
FY2014		Noonan (1020-02)	211,542			372,924	LF
FY2014		Williston (820-04)		685,300		685,300	GPR
FY2014		Dickinson (933-03)			10,000,000	10,000,000	FF
FY2013	6,520,000	Minimum Required	307,120	652,000			
FY2013		Arnegard (1018-01)	114,000				
FY2013		LaMoure (902-01)	193,120			307,120	LF
FY2013		Mandan (1019-01)		264,800			
FY2013		Williston (820-04)		387,200		652,000	GPR
FY2013		Casselton(871-04)			3,455,000		
FY2013		Mandan (775-04)			2,230,000		
FY2013		Jamestown(808-06)			11,350,000	17,035,000	FF
FY2012	6,908,000	Minimum Required	383,922	690,800			
FY2012		Tioga (1017-01)	383,922			383,922	LF
FY2012		Mandan (1019-01)		690,800		690,800	GPR
FY2012		Dickinson (933-02)			7,000,000	7,000,000	FF
FY2011	7,222,000	Minimum Required	669,233	1,444,400			
FY2011		Lehr (950-01)	73,055				
FY2011		Berthold (976-01)	374,487				
FY2011		Bowbells (975-01)	186,985				
FY2011		LaMoure (902-01)	34,706			669,233	LF
FY2011		Mandan (1019-01)		1,444,400		1,444,400	GPR
FY2011		Casselton (871-03)			1,440,000	7,289,750	FF
FY2011		Dunn Center (958-01)			200,000		
FY2011		Kathryn (948-01)			70,000		
FY2011		Rolla (988-01)			1,809,750		
FY2011		Medina (749-02)			270,000		
FY2011		Dickinson (933-02)			3,500,000		
FY2010	10,002,000	Minimum Required	1,497,982	2,000,400			
FY2010		Fingal (947-01)	148,309		715,000	10,041,191	FF
FY2010		Maddock (962-01)	421,449		1,800,000		
FY2010		Ray (965-01)	760,202		1,538,836		
FY2010		SE Cass WRD (946-01)	168,022		670,000	1,497,982	LF
FY2010		Dickinson (933-02)		1,400,000			
FY2010		Williston (820-04)		600,400		2,000,400	GPR
FY2010		Pembina (881-01)			217,329		
FY2010		BCWR (945-01)			239,026		

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Grant Year	Cap Grant	Loan Recipient	Loan Forgiveness allocated* (LF)	Green Project Reserve (GPR)	Equivalency Project(s) &/or FFATA(FF)	Totals for Grant Year
FY2010		Hazen (932-02)			320,000	
FY2010		Lehr (950-01)			50,000	
FY2010		Wyndmere (913-02)			2,200,000	
FY2010		Minto (942-01)			1,229,000	
FY2010		Forman (980-01)			780,000	
FY2010		Velva (965-01)			282,000	

¹ Any loan

**Italicized and shaded loan forgiveness amounts have been received by the recipient. Non-italicized unshaded amounts have been allocated but not yet fully disbursed to the recipient.*

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1. AFFORDABILITY (100/300 points)

a. Median Household Income (MHI)

MHI Less than 60% of State MHI	20
MHI Between 60% and 100% of State MHI	10
MHI greater than 100% of State MHI	0

b. Relative Future Wastewater Cost Index (RFWCI)

RFWCI greater than 2%	40
RFWCI between 1.5% and 2%	20
RFWCI less than 1.5%	0

c. Unemployment

City unemployment rate is more than State unemployment rate	20
City unemployment rate is less than or equal to State unemployment rate	0

d. Population Trends

City population % change is greater than State population % change	20
City population % change is less than or equal to State population % change	0

2. WATER QUALITY IMPROVEMENTS, BENEFICIAL USE, AND OTHER USES AND OUTCOMES (50/300 points)

a. Projects that reduce the loading of a parameter that has been identified as an impairment to the receiving water or watershed as identified through the TMDL process or project implements a section 319 NPS management program	15
b. Project may protect aquifer with priority ranking:	
• High	15
• Moderate	10
• Low	5
c. Project may enhance or affect the following receiving water beneficial use	
• Municipal and domestic water	4
• Fish and aquatic biota	2
• Recreation	2
• Agricultural	1
• Industrial	1
d. Project located in a source water protection area and may help to protect a public water supply	15

****Accumulative*** Projects can receive points from 2a **OR** 2b and potentially include additional points from 2c and 2d*

Other Uses and Outcomes

e. Public health (e.g. Pathogen Reduction)	4
f. Disaster resilience component	3
g. Address nutrient loadings of nitrogen and phosphorous	2
h. Regionalization/consolidation	1

****Accumulative****

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3. PERMIT COMPLIANCE (50/300 points)

Project addresses an enforcement action by a regulatory agency and the facility is currently in significant non-compliance. And/or project addresses a deficiency received during a sanitary survey.	50
Project addresses a facility's voluntary efforts to resolve a possible violation and will mitigate the issuance of a consent order or other enforcement action. And/or project addresses a defect received during a sanitary survey.	30
Project is designed to maintain permit compliance or meet new permit effluent limits.	15
Project does not address compliance issue.	0

4. READINESS TO PROCEED (60/300 points)

Plans and Specifications Approved	10
Plans and Specifications Submitted	5
Finding of No Significant Impact (FONSI) or Categorical Exclusion (CatEx) Issued	15
Facility Plan Submitted	10
Preliminary Engineering Report, Feasibility Study, or Technical Design Memo Submitted	10
PAR Approved	5
PAR Report Submitted	5

Accumulative

5. GREEN PROJECT RESERVE (GPR) (40/300 points)

Project incorporates Green Project Reserve Components (Green infrastructure, Energy Efficiency, Water Efficiency, and/or Environmentally Innovative) at a minimum of 20 percent of total project costs	30
Project is categorically eligible for the GPR and does NOT require a business case	10

Accumulative

Refinance projects will not be ranked because they are not considered for loan forgiveness